The Cerebral Response during Subjective Choice with and without Self-reference

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Abstract

The anterior medial prefrontal (AMPFC) and retrosplenial (RSC) cortices are active during self-referential decision-making tasks such as when participants appraise traits and abilities, or current affect. Other appraisal tasks requiring an evaluative decision or mental representation, such as theory of mind and perspective-taking tasks, also involve these regions. In many instances, these types of decisions involve a subjective opinion or preference, but also a degree of ambiguity in the decision, rather than a strictly veridical response. However, this ambiguity is generally not controlled for in studies that examine self-referential decision-making. In this functional magnetic resonance imaging experiment with 17 healthy adults, we examined neural processes associated with subjective decision-making with and without an overt self-referential component. The task required subjective decisions about colors—regarding self-preference (internal subjective decision) or color similarity (external subjective decision) under conditions where there was no objectively correct response. Results indicated greater activation in the AMPFC, RSC, and caudate nucleus during internal subjective decision-making. The findings suggest that self-referential processing, rather than subjective judgments among ambiguous response alternatives, accounted for the AMPFC and RSC response.

INTRODUCTION

An increasing number of studies in the cognitive and affective neurosciences are focused on the subjective self (Vogeley & Fink, 2003). Functional neuroimaging studies on self-referential tasks are finding similar activation patterns involving the anterior medial prefrontal cortex (AMPFC) and the retrosplenial cortex (RSC) (Northoff & Bermpohl, 2004; Vogeley & Fink, 2003). Relevant experimental imaging paradigms include those in which the participant rates the self or an “other” on abilities/traits (Schmitz, Kawahara-Baccus, & Johnson, 2004; Zysset, Huber, Samson, Ferstl, & von Cramon, 2003; Johnson et al., 2002; Kelley et al., 2002; Zysset, Huber, Ferstl, & von Cramon, 2002), rates one’s affective response to connotative pictures (Gusnard, Akbudak, Shulman, & Raichle, 2001; Lane, Fink, Chau, & Dolan, 1997) or words (Cato et al., 2004; Maddock, Garrett, & Buonocore, 2003), or evaluates personal preferences (Seger, Stone, & Keenan, 2004; Paulus & Frank, 2003). Theory-of-mind paradigms (Frith & Frith, 1999; Fletcher et al., 1995; Goel, Grafman, Sadato, & Hallett, 1995), in which the participant infers the mind content/intentions of another, have also found activation in the AMPFC and RSC. All of these tasks have in common a meta-cognitive component (Stuss, Picton, & Alexander, 2001), comprising the participant’s subjective appraisal rather than a veridical criterion-based decision (see also Zysset, Huber, Ferstl, et al., 2002). For example, Seger et al. (2004) presented 144 food names and asked participants to decide with a “yes” or “no” response whether they preferred the food. In another condition, the subjects decided whether someone they were familiar with preferred the food. This type of task is dependent on the completeness of knowledge about the self and the other, and secondly on the strength of the preference. In forced-choice scenarios such as this, when veridical knowledge may be incomplete, or when there is a degree of uncertainty among response alternatives, the choice selection becomes subjective because each response alternative has some degree of plausibility. Similarly, when strong beliefs or preferences (like or dislike) have not yet been formed, or when there is ambivalence between the choices, the response again becomes more subjective or arbitrary, and less criterion-based. Ambiguity or uncertainty between response alternatives is therefore a possible component of many self-referential or other meta-representational functional imaging experiments. Although the studies cited above have found common regions of activation, the problem of ambiguity among choice alternatives (and thus, subjectivity in responses) has not been adequately

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addressed as a factor that may be accounting for AMPFC and RSC activity. Thus, strong conclusions regarding the functional nature of this network cannot yet be made.

In the present study, we sought to test whether non-veridical (i.e., subjective) decisions, with or without a salient self-referential component, could account for AMPFC and/or RSC involvement. We therefore asked 17 healthy young adult participants to make decisions regarding color preference and color similarity during functional magnetic resonance imaging (fMRI), using a paradigm in which subjective choice between two equally plausible alternatives was required. A color preference condition is described here as an “internal” subjective decision (ISD) task because it had an inward or self-referential context. A subjective color similarity condition was termed the “external” subjective decision (ESD) because the choice between two equivocal alternatives was based on the external color properties of the color stimuli rather than on self-preference. Because blood oxygen level dependent (BOLD) fMRI is a relative measure, the choice of the baseline task is critical to making valid interpretations of the results. Recent research has indicated that low-level baseline conditions (such as rest or crosshair fixation) activate the MPFC and the RSC, perhaps due to the participant being more self-aware of their immediate surroundings or performance in the experiment during nondemanding activities (Greicius & Menon, 2004; Gusnard & Raichle, 2001; Raichle et al., 2001). Thus, a low-level baseline task such as crosshair fixation was avoided in this study, as it may implicitly be self-referential. The baseline condition for this study involved making veridical color similarity decisions in which one of the choice alternatives was unambiguously correct. This was termed the external veridical decision (EVD) condition. The key comparison between the two ambiguous conditions was achieved with a random-effects group analysis. Our hypothesis was that the midline structures would be more active during internal (self-referential) subjective decision-making.

RESULTS

Reaction times for the three conditions were as follows: EVD: Avg = 0.977 msec, SD = 0.280; ESD: Avg = 1.717 msec, SD = 0.388; ISD: Avg = 1.671 msec, SD = 0.446. Repeated-measures ANOVA indicated the means were significantly different (p < .001). The two subjective conditions were significantly different from the veridical condition [ESD vs. EVD: t(16) = 10.71, p < .001; ISD vs. EVD: t(16) = 7.25, p < .001], but they were not significantly different from each other [ESD vs. ISD: t(16) = 0.865, p = ns].

ESD versus EVD Activation

The fMRI signal difference between the two external color similarity conditions was computed using a paired t-test random-effects model. The result is shown in the statistical parametric map in Figure 1. The voxel locations and associated t-statistics and FDR-corrected p values of the maxima are presented in Table 1. The contrast resulted in activation of the dorsal medial frontal cortex, just dorsal to the mid-cingulate. The intraparietal sulcus was active bilaterally, as was the dorsolateral and inferolateral frontal lobe and the anterior insula. Neither the AMPFC nor the RSC was significant in this comparison.

ISD versus EVD Activation

A very similar pattern was shown when the ISD condition was compared to the baseline EVD condition. This contrast is presented in Figure 2 and Table 2.

ISD versus ESD Activation: Internal versus External Subjective Decisions

The key comparison in this study was between the two subjective conditions. The result is shown in the statistical parametric map in Figure 3 and plot in Figure 4. Table 3 contains the voxel locations and statistics. The left AMPFC and the RSC were each differentially more active during the ISD compared to the ESD. Also active in this contrast were the head of the caudate bilaterally.
the left posterior temporal cortex, and the left anterior hippocampus.

DISCUSSION

In this experiment, we found that self-referential (internal) subjective decision-making activated the AMPFC and the RSC significantly more than an external subjective decision-making comparison task. These results suggest that the cerebral response to subjective decision-making is dependent on the referent of the task but not on ambiguity.

Self-referential Decision-making (ISD)

In the key comparison of ISD versus ESD, the AMPFC was relatively more active on the left, as was the caudate,
RSC, and posterolateral temporal lobe. The AMPFC and RSC findings supported our primary hypothesis; the regions were active when a subjective choice had a self-referent, but not when the referent was external. This finding supports the growing body of literature for a meta-representational network invoked by self-referential tasks (Schmitz et al., 2004; Gallagher & Frith, 2003; Gusnard, Ollinger, et al., 2003; Johnson et al., 2002; Zysset, Huber, Forstl, et al., 2002; Gusnard, Akbudak, et al., 2001; Vogeley et al., 2001; Frith & Frith, 1999; Maddock, 1999; Goel et al., 1995). In addition, the posterolateral temporal lobe has also been reported to be active for these types of tasks (Frith & Frith, 1999). Why the left hemisphere was more active than the right is not completely clear. Prior studies (in which ambiguity was not controlled, e.g., Johnson et al., 2002) have not found strongly lateralized effects. However, Turk, Heatherton, Macrae, Kelley, and Gazaniga (2003) found activation here, right more than left, when subjects were asked to perform an interoceptive awareness task—being mindful of their own heartbeat (Critchley, Wiens, Rotshtein, Ohman, & Dolan, 2004). This region has been suggested by Craig (2003) to be involved in the integrative and interpretive functions of the left hemisphere (Gazaniga, 2000) provide an advantage for self-recognition performance. That finding is consistent with the self-referential effect observed in the present study, but clearly more work is needed in this regard.

Goldberg and Podell (1999, 2000) have postulated that common goal-directed but nonveridical self-referential decisions (e.g., selecting from a menu at a restaurant or choosing which clothes to wear) involve the frontal lobes. Furthermore, they have shown with an elegant behavioral paradigm that frontal lobe injury can be distinguished from normal functioning on a cognitive bias task, in which subjective choice preference is measured (Goldberg & Podell, 2000; Podell, Lovell, Zimmerman, & Goldberg, 1995). Although our experiment was quite different from Goldberg’s in many respects, including behavioral versus imaging methodology, subject population, and paradigm, there is some conceptual similarity. Further, the results of the present study demonstrate robust dorsomedial as well as dorsolateral frontal lobe activation in each of the subjective conditions relative to the EVD veridical baseline decision task. This is consistent with Goldberg’s findings in patients with frontal lobe lesions (Goldberg & Podell, 1999).

Regarding the contrast of the two external conditions, we note that a variety of regions were active. The ESD condition likely differed from the EVD condition in more than one way. First, the reaction times differed; the ESD condition had longer reaction times, suggesting greater difficulty and attentional demand. The dorsal medial frontal and intraparietal findings have been commonly implicated in studies of attention, inclusive of working memory (Nelson, Reuter-Lorenz, Sylvester, Jonides, & Smith, 2003; Smith & Jonides, 1999), conflict monitoring, and cognitive control (Kerns et al., 2004; Carter, MacDonald, Ross, & Stenger, 2001; van Veen, Cohen, Botvinick, Stenger, & Carter, 2001). Dorsomedial prefrontal activation has also been reported by Gusnard, Akbudak, et al. (2001) during an “external” decision-making task in which the decision was whether a visual scene was indoors or outdoors.

The finding in the inferior frontal gyrus, left more than right on both the subjective tasks relative to the EVD baseline, may reflect a verbal reasoning strategy during those conditions that may not have been employed during the less difficult EVD condition. This is, however, a speculative interpretation on the basis of the location of the finding around Broca’s area, and the longer reaction times for the subjective conditions.

The bilateral anterior insula response during each of the subjective tasks is intriguing. A recent fMRI report found activation here, right more than left, when subjects were asked to perform an interoceptive awareness task—being mindful of their own heartbeat (Critchley, Wiens, Rotshtein, Ohman, & Dolan, 2004). This region has been suggested by Craig (2003) to be involved in the...
meta-representation of interoceptive feelings and is more active when the stimuli are perceived as more emotionally intense (Craig, 2002). Damasio (1999) also supports this conclusion. In addition, Phan et al. (2004) report bilateral anterior insula activation during a self-referential task, more so when stimuli were perceived as more emotionally intense. As Phan et al. discuss, the anterior insula may be evoked by the emotional salience of a task. In the current study, although the task was not intended to be emotionally evocative, perhaps the ambiguity of the subjective conditions resulted in an unintended affective response, thus explaining insula activity during both subjective (ESD and ISD) conditions compared to the EVD condition. A limitation of the current study is that we did not have other physiological measures to parallel the fMRI paradigm. Galvanic skin conductance, for example, may have helped determine whether the affective arousal of either of the subjective tasks was correlated with the cerebral response in the insula.

Retrieval and the Retrosplenial Cortex

The RSC is consistently active during episodic retrieval (Piepke, Weiss, Zilles, Markowitsch, & Fink, 2003; Cabeza, Dolcos, Graham, & Nyberg, 2002). Because prior studies of self-referential decision-making also commonly activate the RSC, we sought to avoid retrieval demands in our task design. For example, Johnson et al. (2002) asked participants to rate themselves on attributes and abilities and found activation in the RSC. Potentially, participants in that study could have engaged in retrieval of schemata they have about themselves regarding the trait or ability in question (analogous to calling to mind “personal” semantic knowledge); or they could have called to mind specific episodes from the past to support their answers. Other relevant studies have similar potential retrieval demands that could account for the retrosplenial activation (Schmitz et al., 2004; Kelley et al., 2002; Zysset, Huber, Fristl, et al., 2002). By avoiding explicit retrieval of schematic and episodic memory in the current task design, we can state more confidently that the RSC finding in this study is attributable to the referent rather than incidental episodic retrieval processes.

The interaction between episodic retrieval and self-referential processing is deserving of further work as the RSC is often reported as activating to both types of tasks. The importance of gaining this understanding is underscored by the fact that the RSC is hypometabolic in Alzheimer’s Disease (AD) (Matsuda, 2001; Minoshima, Giordani, et al., 1997; Minoshima, Foster, & Kuhl, 1994), as well as in people with risk factors for AD (Reiman, Chen, et al., 2004; Small et al., 2000; Reiman, Caselli, et al., 1996). In addition to diminished memory and cognitive abilities, there is a concomitant lack of appreciation, or self-awareness, for such memory and cognitive deficits (Duke, Seltzer, Seltzer, & Vasterling, 2002; Rymer et al., 2002; Tabert et al., 2002). A diminished sense of awareness is also frequently observed in other diseases with a predominant frontal lobe etiology such as frontal lobe dementia (Miller et al., 2001), traumatic brain injury (Flashman & McAllister, 2002; Flashman, Amador, & McAllister, 1998; Sherer et al., 1998; Prigatano, 1996), and schizophrenia (Flashman, McAllister, et al., 2001). One potentially fruitful area of investigation will be to determine whether diseases with predominant RSC involvement will show a different pattern of self-referential deficits than diseases with predominant frontal lobe etiology (Rombouts et al., 2003). These important issues have yet to be studied.

A Default Network for Self

Task-induced deactivations (TIDs) have been reported recently to occur in the AMPFC and the RSC. TIDs refer to increased activity during low-demand baseline tasks relative to the experimental task in fMRI and PET studies (Greicius & Menon, 2004). A low-level baseline has many conceptual advantages, if one can assume that it is a physiologically and psychologically neutral condition. The plot in Figure 4 indicates that this was not the case for our baseline condition; the easier EVD condition evoked more signal in the RSC than the subjective tasks. Several investigators (Lustig et al., 2003; Gusnard & Raichle, 2001; Raichle et al., 2001) have interpreted TIDs as resulting from a default mode in which the participant is more self-aware of the “here and now” of the experiment, their surroundings, and their performance. Easier task conditions, including rest, in fMRI and PET studies may facilitate this type of awareness (D’Argembeau et al., 2005). Many studies of observer effects on performance (e.g., Kazdin, 1982) demonstrate that performance differs based on whether one is aware of being observed, perhaps due to increased self-monitoring. Thus, it may be that AMPFC and RSC “default mode” findings are explainable by these social psychological processes. This hypothesis remains to be studied with modern brain mapping techniques and integrated social psychological theory (Cacioppo et al., 2003). Research with quantitative blood flow imaging methods are also needed as BOLD fMRI only measures relative signal change between conditions and is therefore not suited to fully explain the concept of deactivation.

Limitations

This study had some limitations that should be considered. The experimental design of this study did not allow us to completely test the self-referential effect. The design had veridical and subjective conditions for external decisions, but the internal decisions were...
always subjective. Although the results of the study allow us to conclude that there was a self-referential effect while controlling for ambiguity, an additional condition for veridical self-referential decisions would have allowed us to determine whether there was a main effect for self-referential processing or an interaction with ambiguity in the midline structures. A second limitation is our ability to isolate self-referential processing to the internal (preference) condition. The ESD, which was always a choice between two equally plausible alternatives, may have also involved implicit self-referential decision-making to some degree. Although this cannot be ruled out, any self-referential processing in the ESD condition was likely to be less than the explicitly self-referential ISD condition. This is borne out by the result of the key comparison between ISD and ESD showing regions relatively more active during the overtly self-referential condition.

Conclusions
In conclusion, this study sought to determine the neural response during subjective decision-making with and without a self-referential component. The findings confirmed our hypothesis that subjective decision-making alone does not account for the frequently observed AMPFC and RSC results in prior self-referential brain activation paradigms. Thus, the results provide further support for a self-referential brain network (Northoff & Bermpohl, 2004; Gusnard & Raichle, 2001). Future functional imaging studies involving patients with either impaired self-referential capacities, or known damage within this network, will likely facilitate our understanding of this system. Such work is underway in our laboratory.

METHODS
Seventeen healthy, young adult, right-handed participants (9 men and 8 women) were included in this study (mean age = 21.3, SD = 2.4; mean education = 15.3, SD = 1.3). The participants were recruited from the University of Wisconsin—Madison campus via advertisement. Prior to study procedures, participants were screened in a phone interview for MRI safety/compatibility and questioned regarding general health history. The exclusion criteria consisted of color blindness, any chronic medical condition (e.g., neurological, cardiovascular, cancer), prior invasive surgical procedures, incidence of head trauma involving loss of consciousness for more than 5 min, prior diagnosis of a major psychiatric disorder, history of alcohol/substance abuse, learning disability, or vision/hearing impairment. All prescription medications (with the exception of stable oral contraceptives) were excluded. Participants who met criteria provided written informed consent prior to engaging in study procedures. On the day of the scan, participants were administered a brief set of neuropsychological tests including the Wide Range Achievement Test—Third Edition (WRAT-III) Reading Recognition subtest (a quick estimate of verbal intelligence) to verify that broad cognitive abilities were within normal ranges. The average score from these participants was 111, SD = 5. The population average on this test is 100 with a population SD of 15. The participants were compensated for taking part in this Institutional Review Board-approved study.

Task
Stimulus Characteristics
The general configuration of the stimuli is depicted in Figure 5. Three colored squares were presented simul-

![Figure 5](image_url)

**Figure 5.** The spatial layout of the stimuli for this experiment. In Frames A and B, the square above the horizontal line is the target or referent; the bottom two squares are the choice alternatives that must be matched to the target based on color preference or color similarity. The colors are actual examples from the experiment. The choice alternatives in Frame A are equidistant from each other, and equally similar to the referent. The saturation and luminance were also equal. This type of ambiguous stimulus is representative of the two subjective conditions ISD and ESD. The red, green, blue (RGB) values for the colors are as follows (counterclockwise from the top): 90, 180, 180; 180, 90, 180; 180, 180, 90. Frame B is representative of the EVD control condition in which the participant made a veridical decision about which of the two color choices was most similar to the target. The RGB values in Frame B are: 255, 100, 100; 255, 150, 150; 51, 51, 255.
taneously on the screen. A new set of squares appeared every 4 sec for the course of the experiment, but the general stimulus characteristics, spatial layout, and motoric and perceptual demands were the same for all three conditions in the task. The only factors that differed were the type of choice to be made (color similarity or preference decision). The color luminance was always equal for the two choice alternatives in all three conditions. This was achieved by manipulating the Red, Green, and Blue (RGB) components of the colors.

On a 800 × 600 screen layout, the target or referent square was centered 150 pixels above the two choice alternatives, which were situated 120 pixels to the left and right of center. The squares were all 90 × 90 in size. A semantic cue was present at the bottom of the screen to remind the subject of the current condition. The response selection of the left or right square was made on a two-button response panel placed in the right hand. The match was either by similarity or preference depending on the condition. Three conditions were presented.

**Condition 1**

*Internal subjective decision or ISD.* During this condition, the colors were always equally distant in color hue and luminance from the referent. The match decision was based on self-preference, in the moment, rather than on color similarity. The decision was thus subjective and “internal” or self-referential.

**Condition 2**

*External subjective decision or ESD.* During this condition, the two alternatives were different from each other, but equidistant from the target in color hue and luminance. Thus, the choice of which of the two alternatives was more similar to the target was entirely ambiguous and subjective because there was no apparent correct answer based on external color features.

**Condition 3**

*External veridical decision or EVD.* During the EVD condition, a correct answer was always apparent. The correct answer occurred equally often in the left and right positions. This was the baseline condition and was employed to control for visuospatial complexity, color identification and processing, the motoric and attentional components of choice selection.

**Task Design**

The conditions occurred in epochs of 20 sec and five decision trials (4 sec each) were presented in each epoch. The order of the three conditions was pseudo-random and all three occurred within each of five 60-sec cycles. The task was 5 min 40 sec duration. The condition order was the same for all subjects. A semantic reminder was always at the bottom of the screen: “which is more similar” or “which do you prefer.” In addition, a 4-sec instructional cue was presented whenever the task switched from/to similarity to/from preference. The instruction cue at the beginning of the similarity conditions (Condition 2 or 3) was “which of the bottom boxes is more similar in color to the top box?” The instruction set for Condition 1 was “which of the bottom boxes do you prefer with the top box?” Participants were instructed to make their decision as rapidly as possible using the MRI-compatible response device.

**Setup Procedures and Hardware**

Participants were provided with instruction and practice prior to scanning. They were then situated on the bed of a GE long bore 3.0-T scanner and outfitted with the MR-compatible button-box and a high-resolution goggle system, set at 800 × 600 from Resonance Technology (Northridge, CA). Head motion was constrained by foam padding around the head. The software Presentation (www.neurobs.com) was used to deliver visual stimuli from a PC computer via the goggle system and also record responses through the button-box connected to the serial port of the stimulus-delivery computer. A coaxial cable connecting the scanner control computer to the stimulus-delivery computer enabled Presentation to monitor the pulses from the scanner, thereby enabling precise synchrony between slice acquisition and stimulus delivery.

**Imaging Protocol**

Prior to the fMRI scan, higher-order shimming was performed using software provided by the manufacturer. The routine is run iteratively two to three times per subject, resulting in field homogeneity over the brain in the range of 15–23 Hz RMS.

**Field Mapping**

Even after high-order shimming, there are residual magnetic field (Bo) inhomogeneities across the brain that cause regional image distortions in echo-planar images such as in the inferior prefrontal regions near the frontal and ethmoid sinuses. Because this was a relevant region for this experiment, image distortions were corrected by measuring 3-D field maps across the brain (co-planar with the fMRI slices). This was accomplished by measuring the phase of non-EPI gradient-echo images at two echo times (7 and 10 msec). The phase difference between the two echo images is proportional to the static field inhomogeneity (Jezzard & Balaban, 1995). The warp correction was performed
using custom software developed in Matlab. A 3-D phase-unwrapping algorithm (based on Jenkinson, 2003) was used to estimate the continuous field map. Image unwarping was performed using a nonlinear pixel shifting and B splines interpolation algorithm.

Image Acquisition

For each subject, a T2*-weighted gradient-echo echo-planar image (EPI) pulse sequence was prescribed for the functional trials. The EPI parameters were as follows: echo time (TE) = 30 msec; repetition time (TR) = 2000 msec; flip angle = 90°; acquisition matrix = 64 × 64 voxels; field of view (FOV) = 240 mm. Thirty sagittal slices of the brain were acquired within the TR at each time point, with a voxel resolution of 3.75 × 3.75 × 4 mm, and a 1-mm skip between slices. Over a 5-min 40-sec scanning run, 170 time points were collected, of which three images acquired during the first 6 sec were discarded. Subsequent to the functional scans, a 3-D IR-prepped fast gradient-echo pulse sequence was administered (7.5 min) to provide high-resolution T1-weighted structural images.

Image Processing

Following echo-planar image reconstruction, the files were converted to Analyze7.5 file format and reoriented to the axial plane. The 4-D image time series was motion-corrected to overcome minor head movement during the scan. The field map described above was then applied to each image in the time series to correct for echo-planar related distortions in image space. The images were then normalized into MNI standard atlas space (using the EPI template provided through SPM2), and then smoothed with an 8-mm full-width at half-maximum Gaussian kernel.

Data Analysis

Statistical analyses of the time-series data were performed on individual participants using a boxcar model convolved with the canonical hemodynamic response function. The statistical model included high-frequency signal filtering (high pass filter = 128 sec) and low-pass filtering with the hemodynamic response function. The time-series data were statistically analyzed using the general linear model using SPM2 (Friston et al., 1995). Three contrasts were specified per single-participant analysis: ESD versus EVD, ISD versus EVD, and ISD versus ESD. The resultant single-participant contrast images were then entered into second-level random-effects analyses for this group of 17 subjects. Inference on the statistical significance of these group analyses used corrected p values with the False Discovery Rate method (Genovese, Lazar, & Nichols, 2002) of p < .05 or lower.

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The data reported in this experiment have been deposited with the MRI Data Center archive (www.fmridc.org). The accession number is 2-2005-118XA.

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